



JC10 Rec'd PCT/PTO 08 MAR 2002 PCT/H

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

OKAMOTO

Appln. No. 10/009,178

Filed: February 5, 2002

FOR: REG-BINDING PROTEIN

Atty. Ref.: 3914-4

Group Art Unit: Not Known

Examiner: Not Known

\* \* \*

**RESPONSE TO NOTIFICATION OF MISSING  
REQUIREMENTS UNDER 35 U.S.C. § 371**

March 8, 2002

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements mailed February 22, 2002 (copy attached), Applicants submit that an inventors' Declaration in compliance with 37 CFR §§ 1.497(a) and (b) was filed on February 5, 2002. Enclosed herewith is a copy of the PTO-stamped receipt confirming that the Declaration was filed in this patent application.

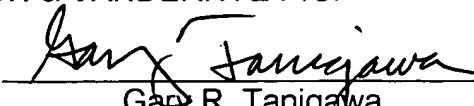
Applicants also submit that paper and computer readable forms of the Sequence Listing, along with a Preliminary Amendment directing their entry and making the statements required by 37 CFR § 1.821 et seq., were filed on February 15, 2002. Enclosed herewith is a copy of the PTO-stamped receipt confirming that the paper and computer readable forms of the Sequence Listing, along with the Preliminary Amendment, were filed in this patent application.

Applicants earnestly solicit issuance of the Official filing receipt and an early examination on the merits. The Examiner is invited to contact the undersigned if any further information is required.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY DOCKET NO
10/009,178	Hiroshi Okamoto	3914-4
INTERNATIONAL APPLICATION NO.		PCT/JP00/03764
I.A. FILING DATE		PRIORITY DATE
06/09/2000		06/10/1999
CONFIRMATION NO. 6137		
371 FORMALITIES LETTER		
		
"OC000000007508059"		

Date Mailed: 02/22/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

**DOCKETED**

CLT/MATTER # 3914-4  
 MAIL DATE 2.22.02  
 DUE DATE April 22, 2002  
 FINAL DEADLINE Sept 22, 2002  
 DOCKETED BY par/mjs

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- **APPLICANT MUST PROVIDE:**
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/009,178	PCT/JP00/03764	3914-4

FORM PCT/DO/EO/905 (371 Formalities Notice)

Appn. No.: 10/009,178  
Inventor/s: OKAMOTO et al  
Title: REG-BINDING PROTEIN

C#/M#: 3914-4  
Atty: Gary R. Tanigawa  
Date: February 15, 2002

### PRELIMINARY AMENDMENT

\$ Fee (Check) - Pre-Bill  
\$ Fee (Check) - Non Pre-Bill

**\$0.00 Total Fee Enclosed**

Other: Substitute paper and computer readable forms of  
the Sequence Listing and copy of the translation of the  
International Preliminary Examination Report



10/009,178  
Serial No.:  
Inventor/s: OKAMOTO et al  
Title: REG-BINDING PROTEIN

C#/M#: 3914-4  
Atty: Arthur R. Crawford  
Date: February 5, 2002

Amendment  
 Pages Specification, Claims & Abstract  
 Claims  
 Sheets of Drawings  
 Declaration (ONE Pages)  
 Assignment ( Pages) Including Cover  
 Priority Document(s)  
 Base Issue Fee Transmittal  
\$ Fee (Check) - Pre-Bill  
\$ Fee (Check) - Non Pre-Bill

**\$0.00 = Total Fee Enclosed**

Other:

